



Request to Recharacterize an IRA Contribution

- Complete this form to either request that a contribution previously made to a traditional IRA be moved to a Roth IRA account or vice versa. This form cannot be used to move contributions made to Education IRAs or to move SEP or SIMPLE Plan contributions.
- Return completed and signed form to U.S. Global Investors family of funds.

1. PERSONAL INFORMATION

First Name	MI	Last Name
Social Security Number	Birth Date (mm/dd/yy)	Daytime Phone Number (include area code)

2. DESCRIPTION OF CONTRIBUTIONS TO RECHARACTERIZE

Any investment earnings or losses accrued while the funds and securities were held in the existing IRA account will be moved to the new account.

Fund/Account Number	Amount to be Recharacterized
Fund/Account Number	Amount to be Recharacterized
Fund/Account Number	Amount to be Recharacterized

3. ACCOUNT RECEIVING RECHARACTERIZED CONTRIBUTION

- Check here to maintain current investment choices.
- Check here to liquidate securities held in the accounts designated above in the dollar amounts set forth and indicate new investment choices below.

If you are changing your investment choices, check one:

- Move all funds into the following existing IRA account(s):

Fund/Account Number	Amount / Percent	Fund/Account Number	Amount / Percent
Fund/Account Number	Amount / Percent	Fund/Account Number	Amount / Percent
Fund/Account Number	Amount / Percent	Fund/Account Number	Amount / Percent

- I am submitting a new IRA account application with this form in which to deposit the funds being moved as a result of the instructions set forth above.

4. READ AND SIGN

I wish to recharacterize the IRA contributions described above by transferring the amounts indicated to another type of IRA, also described above, pursuant to IRS Regulation 1.408A-5. Accordingly, I hereby direct you, as agent of Bank of Texas, the custodian of my IRAs, to transfer, in a trustee-to-trustee transfer, the amount(s) indicated above to the account(s) which I have designated.

In that regard, I hereby certify that this direction is being given before the due date (including extensions) for filing my Federal income tax return for the taxable year for which the contributions were made.

I understand that I should report the transactions generated by this request on IRS Form 8606, to be filed with my Federal income tax return, and that these transactions will be reported to the IRS by U.S. Global Investors family of funds on IRS Forms 1099-R and 5498.

Signature of Account Owner	Date (mm/dd/yy)
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